

The Notion of Type Safety

Use typing rules to filter out unsafe programs.

Two kinds of semantics of programs:

The Notion of Type Safety

Use typing rules to filter out unsafe programs.

Two kinds of semantics of programs:

- **Static semantics:** types
- **Dynamic semantics:** execution of the program

The Notion of Type Safety

Use typing rules to filter out unsafe programs.

Two kinds of semantics of programs:

- **Static semantics:** types
- **Dynamic semantics:** execution of the program

Type safety: "Well types programs never go wrong"

– Robin Milner

Standard methodology: **Safety = Progress + Preservation**

Progress: a well types program that is not a value can be evaluated further

Preservation: well typed programs remain so during evaluation.

A simple functional language (the simply typed lambda calculus)

$t ::=$		terms:
	x	variable
	0	
	$\text{succ } t \mid \text{pred } t$	
	$\text{iszero } t$	zero test
	$\text{true} \mid \text{false}$	
	$\text{if } t \text{ then } t \text{ else } t$	
	$\text{fun } x : T \cdot t$	functions
	$\text{apply } (t, t)$	application

The types

$T ::=$

Bool type of Booleans

Int type of ints

$T \rightarrow T$ type of functions

The types

$T ::=$

Bool type of Booleans

Int type of ints

$T \rightarrow T$ type of functions

The results of computations

$v ::=$

values:

true | **false** Boolean values

| nv numerical value

| **fun** $x : T \cdot t$ functional value

$nv ::=$

0

| **SUCC** nv

The Dynamic Semantics: Evaluation

$$\frac{t \longrightarrow t'}{\text{succ } t \longrightarrow \text{succ } t'} \text{ (E-Succ)}$$

The Dynamic Semantics: Evaluation

$$\frac{t \longrightarrow t'}{\text{succ } t \longrightarrow \text{succ } t'} \text{ (E-Succ)}$$

$$\frac{t \longrightarrow t'}{\text{pred } t \longrightarrow \text{pred } t'} \text{ (E-Pred)}$$

The Dynamic Semantics: Evaluation

$$\frac{t \longrightarrow t'}{\text{succ } t \longrightarrow \text{succ } t'} \text{ (E-Succ)}$$

$$\frac{t \longrightarrow t'}{\text{pred } t \longrightarrow \text{pred } t'} \text{ (E-Pred)}$$

$$\text{pred } 0 \longrightarrow 0 \text{ (E-PredZero)}$$

The Dynamic Semantics: Evaluation

$$\frac{t \longrightarrow t'}{\text{succ } t \longrightarrow \text{succ } t'} \text{ (E-Succ)}$$

$$\frac{t \longrightarrow t'}{\text{pred } t \longrightarrow \text{pred } t'} \text{ (E-Pred)}$$

$$\text{pred } 0 \longrightarrow 0 \text{ (E-PredZero)}$$

$$\text{pred } (\text{succ } nv) \longrightarrow nv \text{ (E-PredSucc)}$$

The Dynamic Semantics: Evaluation

$$\frac{t \longrightarrow t'}{\text{succ } t \longrightarrow \text{succ } t'} \text{ (E-Succ)}$$

$$\frac{t \longrightarrow t'}{\text{pred } t \longrightarrow \text{pred } t'} \text{ (E-Pred)}$$

$$\text{pred } 0 \longrightarrow 0 \text{ (E-PredZero)}$$

$$\text{pred } (\text{succ } nv) \longrightarrow nv \text{ (E-PredSucc)}$$

$$\frac{t \longrightarrow t'}{\text{iszero } t \longrightarrow \text{iszero } t'} \text{ (E-IsZero)}$$

The Dynamic Semantics: Evaluation

$$\frac{t \longrightarrow t'}{\text{succ } t \longrightarrow \text{succ } t'} \text{ (E-Succ)}$$

$$\frac{t \longrightarrow t'}{\text{pred } t \longrightarrow \text{pred } t'} \text{ (E-Pred)}$$

$$\text{pred } 0 \longrightarrow 0 \text{ (E-PredZero)}$$

$$\text{pred } (\text{succ } nv) \longrightarrow nv \text{ (E-PredSucc)}$$

$$\frac{t \longrightarrow t'}{\text{iszero } t \longrightarrow \text{iszero } t'} \text{ (E-IsZero)}$$

$$\text{iszero } 0 \longrightarrow \text{true} \text{ (E-IsZeroZero)}$$

The Dynamic Semantics: Evaluation

$$\frac{t \longrightarrow t'}{\text{succ } t \longrightarrow \text{succ } t'} \text{ (E-Succ)}$$

$$\frac{t \longrightarrow t'}{\text{pred } t \longrightarrow \text{pred } t'} \text{ (E-Pred)}$$

$$\text{pred } 0 \longrightarrow 0 \text{ (E-PredZero)}$$

$$\text{pred } (\text{succ } nv) \longrightarrow nv \text{ (E-PredSucc)}$$

$$\frac{t \longrightarrow t'}{\text{iszero } t \longrightarrow \text{iszero } t'} \text{ (E-IsZero)}$$

$$\text{iszero } 0 \longrightarrow \text{true} \text{ (E-IsZeroZero)}$$

$$\text{iszero } (\text{succ } nv) \longrightarrow \text{false} \text{ (E-IsZeroSucc)}$$

The Dynamic Semantics: Evaluation

$$\frac{t \longrightarrow t'}{\text{succ } t \longrightarrow \text{succ } t'} \text{ (E-Succ)}$$

$$\frac{t \longrightarrow t'}{\text{pred } t \longrightarrow \text{pred } t'} \text{ (E-Pred)}$$

$$\text{pred } 0 \longrightarrow 0 \text{ (E-PredZero)}$$

$$\text{pred } (\text{succ } nv) \longrightarrow nv \text{ (E-PredSucc)}$$

$$\frac{t \longrightarrow t'}{\text{iszero } t \longrightarrow \text{iszero } t'} \text{ (E-IsZero)}$$

$$\text{iszero } 0 \longrightarrow \text{true} \text{ (E-IsZeroZero)}$$

$$\text{iszero } (\text{succ } nv) \longrightarrow \text{false} \text{ (E-IsZeroSucc)}$$

$$\frac{t \longrightarrow t'}{\text{if } t \text{ then } t_1 \text{ else } t_2 \longrightarrow \text{if } t' \text{ then } t_1 \text{ else } t_2} \text{ (E-If)}$$

if true then t_1 else $t_2 \longrightarrow t_1$ (E-IfTrue)

if true then t_1 else $t_2 \longrightarrow t_1$ (E-IfTrue) if false then t_1 else $t_2 \longrightarrow t_2$ (E-IfFalse)

if true then t_1 else $t_2 \longrightarrow t_1$ (E-IfTrue) if false then t_1 else $t_2 \longrightarrow t_2$ (E-IfFalse)

$$\frac{t_1 \longrightarrow t'_1}{\text{apply } (t_1, t_2) \longrightarrow \text{apply } (t'_1, t_2)}$$
 (E-App1)

if true then t_1 else $t_2 \longrightarrow t_1$ (E-IfTrue) if false then t_1 else $t_2 \longrightarrow t_2$ (E-IfFalse)

$$\frac{t_1 \longrightarrow t'_1}{\text{apply } (t_1, t_2) \longrightarrow \text{apply } (t'_1, t_2)} \text{ (E-App1)}$$

$$\frac{t_2 \longrightarrow t'_2}{\text{apply } (v_1, t_2) \longrightarrow \text{apply } (v_1, t'_2)} \text{ (E-App2)}$$

if true then t_1 else $t_2 \longrightarrow t_1$ (E-IfTrue) if false then t_1 else $t_2 \longrightarrow t_2$ (E-IfFalse)

$$\frac{t_1 \longrightarrow t'_1}{\text{apply } (t_1, t_2) \longrightarrow \text{apply } (t'_1, t_2)} \text{ (E-App1)}$$

$$\frac{t_2 \longrightarrow t'_2}{\text{apply } (v_1, t_2) \longrightarrow \text{apply } (v_1, t'_2)} \text{ (E-App2)}$$

$$\text{apply } (\text{fun } x : T \cdot t, v) \longrightarrow t [x \mapsto v] \text{ (E-App)}$$

if true then t_1 else $t_2 \longrightarrow t_1$ (E-IfTrue) if false then t_1 else $t_2 \longrightarrow t_2$ (E-IfFalse)

$$\frac{t_1 \longrightarrow t'_1}{\text{apply } (t_1, t_2) \longrightarrow \text{apply } (t'_1, t_2)} \text{ (E-App1)}$$

$$\frac{t_2 \longrightarrow t'_2}{\text{apply } (v_1, t_2) \longrightarrow \text{apply } (v_1, t'_2)} \text{ (E-App2)}$$

$$\text{apply } (\text{fun } x : T \cdot t, v) \longrightarrow t [x \mapsto v] \text{ (E-App)}$$

Substitutions are defined as usual.

$$(\text{if true then } (\text{pred } x) \text{ else } 0) [x \mapsto \text{succ } 0] = (\text{if true then } (\text{pred } (\text{succ } 0)) \text{ else } 0)$$

if true then t_1 else $t_2 \longrightarrow t_1$ (E-IfTrue) if false then t_1 else $t_2 \longrightarrow t_2$ (E-IfFalse)

$$\frac{t_1 \longrightarrow t'_1}{\text{apply } (t_1, t_2) \longrightarrow \text{apply } (t'_1, t_2)} \text{ (E-App1)}$$

$$\frac{t_2 \longrightarrow t'_2}{\text{apply } (v_1, t_2) \longrightarrow \text{apply } (v_1, t'_2)} \text{ (E-App2)}$$

$$\text{apply } (\text{fun } x : T \cdot t, v) \longrightarrow t [x \mapsto v] \text{ (E-App)}$$

Substitutions are defined as usual.

$$(\text{if true then } (\text{pred } x) \text{ else } 0) [x \mapsto \text{succ } 0] = (\text{if true then } (\text{pred } (\text{succ } 0)) \text{ else } 0)$$

$$(\text{fun } x : \text{Int} \cdot \text{if true then } x \text{ else succ } (y)) [y \mapsto \text{succ } (x)]$$

$$= (\text{fun } z : \text{Int} \cdot \text{if true then } z \text{ else succ } (\text{succ } (x)))$$

Example

```
apply (fun x : Int · if x then (pred (succ 0)) else (succ 0), iszero 0)
→ apply (fun x : Int · if x then (pred (succ 0)) else (succ 0), true )
→ if true then (pred (succ 0)) else (succ 0)
→ (pred (succ 0))
→ 0
```

Example

$\text{apply } (\text{fun } x : \text{Int} \cdot \text{if } x \text{ then } (\text{pred } (\text{succ } 0)) \text{ else } (\text{succ } 0), \text{iszero } 0)$
→ $\text{apply } (\text{fun } x : \text{Int} \cdot \text{if } x \text{ then } (\text{pred } (\text{succ } 0)) \text{ else } (\text{succ } 0), \text{true })$
→ $\text{if true then } (\text{pred } (\text{succ } 0)) \text{ else } (\text{succ } 0)$
→ $(\text{pred } (\text{succ } 0))$
→ 0

The justification for the first evaluation step is as follows

$$\frac{\frac{}{\text{iszero } 0 \longrightarrow \text{true}} \text{ (E-IsZeroZero)}}{\text{apply } (\text{fun } x : \text{Int} \cdot \text{if } \dots, \text{iszero } 0) \longrightarrow \text{apply } (\text{fun } x : \text{Int} \cdot \text{if } \dots, \text{true })} \text{ (E-App2)}$$

A program which gets stuck during evaluation

```
    apply (fun x : Int · if x then (pred (succ 0)) else (succ 0), 0)
→ if 0 then (pred (succ 0)) else (succ 0),
```

There are no rules for evaluating this program further.

This program is not yet a value.

The **type system** of a type-safe language should **reject** such programs.

The Static Semantics: Typing

A type environment Γ is of the form $x_1 : T_1, \dots, x_n : T_n$

$$\frac{x : T \in \Gamma}{\Gamma \vdash x : T} \text{ (T-Var)}$$

The Static Semantics: Typing

A type environment Γ is of the form $x_1 : T_1, \dots, x_n : T_n$

$$\frac{x : T \in \Gamma}{\Gamma \vdash x : T} \text{ (T-Var)}$$

$$\Gamma \vdash 0 : \text{Int} \text{ (T-Zero)}$$

The Static Semantics: Typing

A type environment Γ is of the form $x_1 : T_1, \dots, x_n : T_n$

$$\frac{x : T \in \Gamma}{\Gamma \vdash x : T} \text{ (T-Var)}$$

$$\Gamma \vdash 0 : \text{Int} \text{ (T-Zero)}$$

$$\frac{\Gamma \vdash t : \text{Int}}{\Gamma \vdash \text{succ } t : \text{Int}} \text{ (T-Succ)}$$

The Static Semantics: Typing

A type environment Γ is of the form

$$x_1 : T_1, \dots, x_n : T_n$$

$$\frac{x : T \in \Gamma}{\Gamma \vdash x : T} \text{ (T-Var)}$$

$$\Gamma \vdash 0 : \text{Int} \text{ (T-Zero)}$$

$$\frac{\Gamma \vdash t : \text{Int}}{\Gamma \vdash \text{succ } t : \text{Int}} \text{ (T-Succ)}$$

$$\frac{\Gamma \vdash t : \text{Int}}{\Gamma \vdash \text{pred } t : \text{Int}} \text{ (T-Pred)}$$

The Static Semantics: Typing

A type environment Γ is of the form $x_1 : T_1, \dots, x_n : T_n$

$$\frac{x : T \in \Gamma}{\Gamma \vdash x : T} \text{ (T-Var)}$$

$$\Gamma \vdash 0 : \text{Int} \text{ (T-Zero)}$$

$$\frac{\Gamma \vdash t : \text{Int}}{\Gamma \vdash \text{succ } t : \text{Int}} \text{ (T-Succ)}$$

$$\frac{\Gamma \vdash t : \text{Int}}{\Gamma \vdash \text{pred } t : \text{Int}} \text{ (T-Pred)}$$

$$\Gamma \vdash \text{true} : \text{Bool} \text{ (T-True)}$$

The Static Semantics: Typing

A type environment Γ is of the form

$$x_1 : T_1, \dots, x_n : T_n$$

$$\frac{x : T \in \Gamma}{\Gamma \vdash x : T} \text{ (T-Var)}$$

$$\Gamma \vdash 0 : \text{Int} \text{ (T-Zero)}$$

$$\frac{\Gamma \vdash t : \text{Int}}{\Gamma \vdash \text{succ } t : \text{Int}} \text{ (T-Succ)}$$

$$\frac{\Gamma \vdash t : \text{Int}}{\Gamma \vdash \text{pred } t : \text{Int}} \text{ (T-Pred)}$$

$$\Gamma \vdash \text{true} : \text{Bool} \text{ (T-True)}$$

$$\Gamma \vdash \text{false} : \text{Bool} \text{ (T-False)}$$

The Static Semantics: Typing

A type environment Γ is of the form

$$x_1 : T_1, \dots, x_n : T_n$$

$$\frac{x : T \in \Gamma}{\Gamma \vdash x : T} \text{ (T-Var)}$$

$$\Gamma \vdash 0 : \text{Int} \text{ (T-Zero)}$$

$$\frac{\Gamma \vdash t : \text{Int}}{\Gamma \vdash \text{succ } t : \text{Int}} \text{ (T-Succ)}$$

$$\frac{\Gamma \vdash t : \text{Int}}{\Gamma \vdash \text{pred } t : \text{Int}} \text{ (T-Pred)}$$

$$\Gamma \vdash \text{true} : \text{Bool} \text{ (T-True)}$$

$$\Gamma \vdash \text{false} : \text{Bool} \text{ (T-False)}$$

$$\frac{\Gamma \vdash t : \text{Int}}{\Gamma \vdash \text{iszero } t : \text{Bool}} \text{ (T-IsZero)}$$

The Static Semantics: Typing

A type environment Γ is of the form $x_1 : T_1, \dots, x_n : T_n$

$$\frac{x : T \in \Gamma}{\Gamma \vdash x : T} \text{ (T-Var)}$$

$$\Gamma \vdash 0 : \text{Int} \text{ (T-Zero)}$$

$$\frac{\Gamma \vdash t : \text{Int}}{\Gamma \vdash \text{succ } t : \text{Int}} \text{ (T-Succ)}$$

$$\frac{\Gamma \vdash t : \text{Int}}{\Gamma \vdash \text{pred } t : \text{Int}} \text{ (T-Pred)}$$

$$\Gamma \vdash \text{true} : \text{Bool} \text{ (T-True)}$$

$$\Gamma \vdash \text{false} : \text{Bool} \text{ (T-False)}$$

$$\frac{\Gamma \vdash t : \text{Int}}{\Gamma \vdash \text{iszero } t : \text{Bool}} \text{ (T-IsZero)}$$

$$\frac{\Gamma \vdash t : \text{Bool} \quad \Gamma \vdash t_1 : T \quad \Gamma \vdash t_2 : T}{\Gamma \vdash \text{if } t \text{ then } t_1 \text{ else } t_2 : T} \text{ (T-If)}$$

$$\frac{\Gamma, x : T \vdash t : T'}{\Gamma \vdash \text{fun } x : T \cdot t : T \rightarrow T'} \text{ (T-Fun)}$$

$$\frac{\Gamma, x : T \vdash t : T'}{\Gamma \vdash \text{fun } x : T \cdot t : T \rightarrow T'} \text{ (T-Fun)}$$

$$\frac{\Gamma \vdash t_1 : T \rightarrow T' \quad \Gamma \vdash t_2 : T}{\Gamma \vdash \text{apply } (t_1, t_2) : T'} \text{ (T-App)}$$

Example

$$\frac{\vdash \text{fun } x : \text{Bool} \cdot \text{if } x \text{ then } (\text{pred } 0) \text{ else } (\text{succ } 0) : \text{Bool} \rightarrow \text{Int} \quad \vdash \text{iszero } 0 : \text{Bool}}{\vdash \text{apply } (\text{fun } x : \text{Bool} \cdot \text{if } x \text{ then } (\text{pred } 0) \text{ else } (\text{succ } 0), \text{iszero } 0) : \text{Int}} \text{(T-App)}$$

⋮

$$\frac{\vdash 0 : \text{Int}}{\vdash \text{iszero } 0 : \text{Bool}} \text{(T-IsZero)}$$

(T-Zero)

Example

$$\begin{array}{c}
 \frac{}{x : \text{Bool} \vdash x : \text{Bool}} \text{(T-Var)} \quad \frac{x : \text{Bool} \vdash 0 : \text{Int}}{x : \text{Bool} \vdash (\text{pred } 0) : \text{Int}} \text{(T-Pred)} \quad \frac{x : \text{Bool} \vdash 0 : \text{Int}}{x : \text{Bool} \vdash \text{succ } 0 : \text{Int}} \text{(T-Succ)} \\
 \hline
 \frac{}{x : \text{Bool} \vdash \text{if } x \text{ then } (\text{pred } 0) \text{ else } (\text{succ } 0) : \text{Int}} \text{(T-If)} \\
 \hline
 \frac{}{\vdash \text{fun } x : \text{Bool} \cdot \text{if } x \text{ then } (\text{pred } 0) \text{ else } (\text{succ } 0) : \text{Bool} \rightarrow \text{Int}} \text{(T-Fun)} \\
 \\
 \vdots \\
 \frac{}{\vdash \text{fun } x : \text{Bool} \cdot \text{if } x \text{ then } (\text{pred } 0) \text{ else } (\text{succ } 0) : \text{Bool} \rightarrow \text{Int}} \text{(T-IsZero)} \quad \frac{}{\vdash 0 : \text{Int}} \text{(T-Zero)} \\
 \hline
 \frac{}{\vdash \text{apply } (\text{fun } x : \text{Bool} \cdot \text{if } x \text{ then } (\text{pred } 0) \text{ else } (\text{succ } 0), \text{iszero } 0) : \text{Int}} \text{(T-App)}
 \end{array}$$

The following program

`if true then (succ 0) else (iszero 0)`

evaluates to `(succ 0)` (doesn't get stuck).

However it is **not well-typed** according to our type system, i.e. we cannot show

$\vdash \text{if true then (succ 0) else (iszero 0)} : T$

for any type T .

\implies we reject some safe programs.

The only required property for type safety is that all unsafe programs should be rejected.

The standard method for showing type safety.

(1) Progress

If $\vdash t : T$ and t is not a value then $t \longrightarrow t'$ for some term t' .

Well typed programs so not get stuck in some undefined state.

(2) Preservation

If $\vdash t : T$ and $t \longrightarrow t'$ then $\vdash t' : T$.

Evaluation preserves well-typedness (and type) of a program.

The proofs are usually easy (but long) **once** the right definitions have been found out.

Examples of type-safe languages: Java, SML.

Examples of type-unsafe languages: C, C++.

Progress: If $\vdash t : T$ and t is not a value then $t \longrightarrow t'$ for some term t'

Progress: If $\vdash t : T$ and t is not a value then $t \longrightarrow t'$ for some term t'

Proof: We do induction on the size of typing derivations.

- If t is `true` , `false` , `0` or `fun x : T . t'` then there is nothing to prove because these are values.

Progress: If $\vdash t : T$ and t is not a value then $t \longrightarrow t'$ for some term t'

Proof: We do induction on the size of typing derivations.

– If t is `true`, `false`, `0` or `fun $x : T \cdot t'$` then there is nothing to prove because these are values.

– t cannot be a variable because the only rule for typing a variable is

$$\frac{x : T \in \Gamma}{\Gamma \vdash x : T} \text{ (T-Var)}$$

which requires Γ to be non-empty.

some interesting cases:

– If t is of the form $\text{succ } t'$, the typing derivation must be

$$\frac{\vdash t' : \text{Int}}{\vdash \text{succ } t' : \text{Int}} \text{ (T-Succ)}$$

If t' is a value then t is also a value. Otherwise by induction hypothesis we have

$$\frac{t' \longrightarrow t''}{\text{succ } t' \longrightarrow \text{succ } t''} \text{ (E-Succ)}$$

– If t is of the form $\text{pred } t'$ then the typing derivation must be

$$\frac{\vdash t' : \text{Int}}{\vdash \text{pred } t' : \text{Int}} \text{ (T-Pred)}$$

(1) If t' is value 0 then by (E-PredZero) we know that $\text{pred } t' \longrightarrow 0$.

(2) If t' is value $\text{succ } nv$ then by (E-PredSucc) we know that $\text{pred } t' \longrightarrow nv$.

(3) Otherwise t' is not a value. Hence by induction hypothesis we have

$$\frac{t' \longrightarrow t''}{\text{pred } t' \longrightarrow \text{pred } t''} \text{ (E-Pred)}$$

– If t is of the form `iszero t'` then the typing derivation must be

$$\frac{\vdash t : \text{Int}}{\vdash \text{iszero } t : \text{Bool}} \text{ (T-IsZero)}$$

(1) If t' is value `0` then by (E-IsZeroZero) we know that `iszero t'` \longrightarrow `true` .

(2) If t' is value `succ nv` then by (E-IsZeroSucc) we know that `iszero t'` \longrightarrow `false`

(3) Otherwise t' is not a value and by induction hypothesis we have

$$\frac{t' \longrightarrow t''}{\text{iszero } t' \longrightarrow \text{iszero } t''} \text{ (E-IsZero)}$$

– If t is of the form **if** t_1 **then** t_2 **else** t_3 then the typing derivation must be

$$\frac{\vdash t_1 : \text{Bool} \quad \vdash t_2 : T \quad \vdash t_3 : T}{\vdash \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T} \text{ (T-If)}$$

(1) If t_1 is value **true** then by (E-IfTrue) we know that $t \longrightarrow t_2$.

(2) If t_1 is value **false** then by (E-IfFalse) we know that $t \longrightarrow t_3$.

(3) Otherwise t_1 is not a value and by induction hypothesis we have

$$\frac{t_1 \longrightarrow t'_1}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \longrightarrow \text{if } t'_1 \text{ then } t_2 \text{ else } t_3} \text{ (E-If)}$$

– If t is of the form `apply` (t_1 , t_2) then the typing derivation must be

$$\frac{\vdash t_1 : T \rightarrow T' \quad \vdash t_2 : T}{\vdash \text{apply} (t_1, t_2) : T'} \text{ (T-App)}$$

(1) If t_1 is not a value then by induction hypothesis we have

$$\frac{t_1 \longrightarrow t'_1}{\text{apply} (t_1, t_2) \longrightarrow \text{apply} (t'_1, t_2)} \text{ (E-App1)}$$

(2) If t_1 is value v_1 and t_2 is not a value then by induction hypothesis we have

$$\frac{t_2 \longrightarrow t'_2}{\text{apply} (v_1, t_2) \longrightarrow \text{apply} (v_1, t'_2)} \text{ (E-App2)}$$

(3) Suppose t_1 is a value and t_2 is also a value v_2 . Since $\vdash t_1 : T \rightarrow T'$ the value t_1 must be $\text{fun } x : T \cdot t'_1$. Hence by (E-App) we have

$$\text{apply } (\text{fun } x : T \cdot t'_1, v_2) \longrightarrow t'_1 [x \mapsto v_2]$$

:-)

Preservation: If $\vdash t : T$ and $t \longrightarrow t'$ then $\vdash t' : T$

Preservation: If $\vdash t : T$ and $t \longrightarrow t'$ then $\vdash t' : T$

Proof: induction on typing derivations.

Some interesting cases:

– t is of the form **if** t_1 **then** t_2 **else** t_3 . The typing derivation is of the form

$$\frac{\vdash t_1 : \text{Bool} \quad \vdash t_2 : T \quad \vdash t_3 : T}{\vdash \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T} \text{ (T-If)}$$

(1) Suppose $t_1 \longrightarrow t'_1$ so that $t \longrightarrow t'$ where t' is **if** t'_1 **then** t_2 **else** t_3 .

By induction hypothesis we know that $\Gamma \vdash t'_1 : \text{Bool}$ so that $\Gamma \vdash t' : T$.

(2) Suppose t_1 is **true** so that $t \longrightarrow t_2$ then we know that $\Gamma \vdash t_2 : T$.

– t is `apply (fun $x : T' \cdot t_1$, v_2)` and the typing derivation is

$$\frac{\frac{x : T' \vdash t_1 : T}{\vdash \text{fun } x : T' \cdot t_1 : T' \rightarrow T} \text{ (T-Fun)} \quad \vdash v_2 : T'}{\vdash \text{apply (fun } x : T' \cdot t_1 \text{ , } v_2 \text{)} : T} \text{ (T-App)}$$

We have $t \longrightarrow t'$ where t' is $t_1 [x \mapsto v_2]$.

To show that $\vdash t' : T$ we prove

Preservation of types under substitution

If $\Gamma, x : T' \vdash t_1 : T$ and $\Gamma \vdash t_2 : T'$ then $\Gamma \vdash t_1 [x \mapsto t_2] : T$.

Suppose now we extend the language by adding **vectors**.

$t ::= x \mid 0$

| ...

| $[t, \dots, t]$ a vector of terms

| **get** $t t$ accessing some i th element of a vector

Suppose now we extend the language by adding **vectors**.

$t ::= x \mid 0$

| \dots

| $[t, \dots, t]$ a vector of terms

| **get** $t t$ accessing some i th element of a vector

Values $v ::= nv \mid \mathbf{true} \mid \mathbf{false} \mid [v, \dots, v]$.

Suppose now we extend the language by adding **vectors**.

$t ::= x \mid 0$

| \dots

| $[t, \dots, t]$ a vector of terms

| **get** $t t$ accessing some i th element of a vector

Values $v ::= nv \mid \text{true} \mid \text{false} \mid [v, \dots, v]$.

Types $T ::= \text{Int} \mid \text{Bool} \mid T \rightarrow T \mid (\text{vector } T)$

Suppose now we extend the language by adding **vectors**.

$t ::= x \mid 0$
| \dots
| $[t, \dots, t]$ a vector of terms
| **get** $t \ t$ accessing some i th element of a vector

Values $v ::= nv \mid \text{true} \mid \text{false} \mid [v, \dots, v]$.

Types $T ::= \text{Int} \mid \text{Bool} \mid T \rightarrow T \mid (\text{vector } T)$

New evaluation rules

$$\frac{t_i \longrightarrow t'_i}{[v_0, \dots, v_{i-1}, t_i, t_{i+1}, \dots, t_n] \longrightarrow [v_0, \dots, v_{i-1}, t'_i, t_{i+1}, \dots, t_n]} \text{(E-Vec)}$$

$$\frac{t_1 \longrightarrow t'_1}{\text{get } t_1 \ t_2 \longrightarrow \text{get } t'_1 \ t_2} \text{ (E-Get1)}$$

$$\frac{t_2 \longrightarrow t'_2}{\text{get } v_1 \ t_2 \longrightarrow \text{get } v_1 \ t'_2} \text{ (E-Get2)}$$

$$\frac{t_1 \longrightarrow t'_1}{\text{get } t_1 \ t_2 \longrightarrow \text{get } t'_1 \ t_2} \text{ (E-Get1)}$$

$$\frac{t_2 \longrightarrow t'_2}{\text{get } v_1 \ t_2 \longrightarrow \text{get } v_1 \ t'_2} \text{ (E-Get2)}$$

$$\frac{i \leq n}{\text{get succ}^i(0) [v_0, \dots, v_n] \longrightarrow v_i} \text{ (E-Get)}$$

$$\frac{t_1 \longrightarrow t'_1}{\text{get } t_1 \ t_2 \longrightarrow \text{get } t'_1 \ t_2} \text{ (E-Get1)}$$

$$\frac{t_2 \longrightarrow t'_2}{\text{get } v_1 \ t_2 \longrightarrow \text{get } v_1 \ t'_2} \text{ (E-Get2)}$$

$$\frac{i \leq n}{\text{get succ}^i(0) [v_0, \dots, v_n] \longrightarrow v_i} \text{ (E-Get)}$$

New typing rules

$$\frac{\Gamma \vdash t_0 : T \dots \Gamma \vdash t_n : T}{\Gamma \vdash [t_0, \dots, t_n] : \text{vector } T} \text{ (T-Vec)}$$

$$\frac{\Gamma \vdash t_1 : \text{Int} \quad \Gamma \vdash t_2 : \text{vector } T}{\Gamma \vdash \text{get } t_1 \ t_2 : T} \text{ (T-Get)}$$

Is the extended language type safe?

Remedy 1: Modify the typing rules to reject such programs.

Problem: type inference involves problems like precise array bounds checking at compile time.

Remedy 1: Modify the typing rules to reject such programs.

Problem: type inference involves problems like precise array bounds checking at compile time.

Remedy 2: Modify the evaluation rules to take some necessary action in case of such ill-defined states.

Remedy 1: Modify the typing rules to reject such programs.

Problem: type inference involves problems like precise array bounds checking at compile time.

Remedy 2: Modify the evaluation rules to take some necessary action in case of such ill-defined states.

We introduce a new term for ill-defined states.

$$t ::= \dots \mid \textit{error}$$

Remedy 1: Modify the typing rules to reject such programs.

Problem: type inference involves problems like precise array bounds checking at compile time.

Remedy 2: Modify the evaluation rules to take some necessary action in case of such ill-defined states.

We introduce a new term for ill-defined states.

$$t ::= \dots \mid \mathit{error}$$

and a rule for producing error message

$$\frac{i > n}{\text{get succ}^i(0) [v_0, \dots, v_n] \longrightarrow \mathit{error}}$$

and rules for propagating error messages

$\text{apply}(\text{error}, t) \longrightarrow \text{error}$ $\text{apply}(v, \text{error}) \longrightarrow \text{error} \dots$

and rules for propagating error messages

$\text{apply}(\text{error}, t) \longrightarrow \text{error}$ $\text{apply}(v, \text{error}) \longrightarrow \text{error} \dots$

Then we can show

Progress:

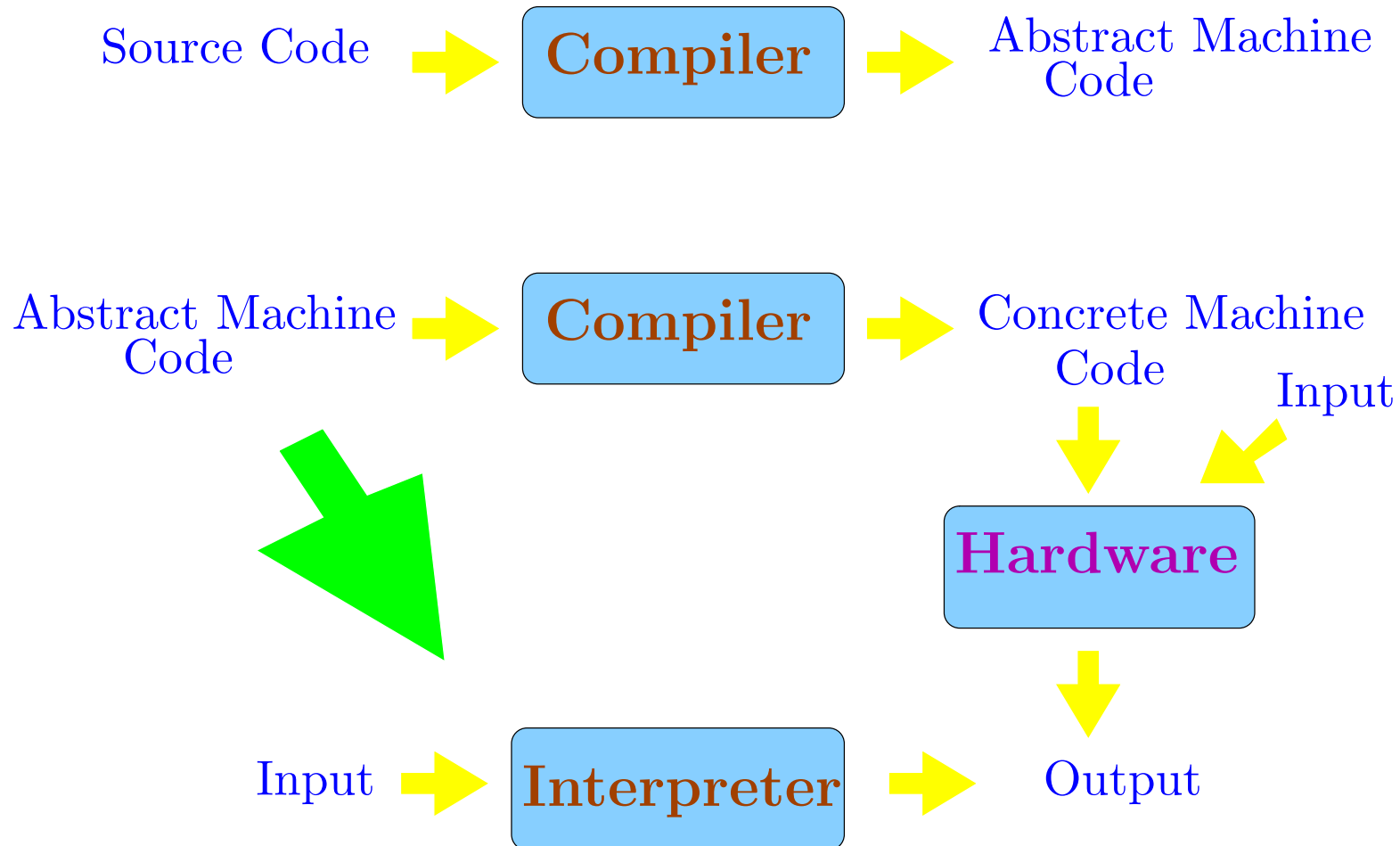
If $\vdash t : T$, t is not a value and $t \neq \text{error}$ then $t \longrightarrow t'$ for some t' .

Preservation:

If $\vdash t : T$ and $t \longrightarrow t'$ then either t' is error or $\vdash t' : T$.

Java Security

The virtual machine principle:



Java programs: definitions of classes.

```
public class hello {  
    public static void main (String args[]) {  
        System.out.println ("Hello!"); } }  
}
```

Compilation produces **class files** containing **Java bytecode**.

```
javac hello.java
```

produces file **hello.class** containing bytecodes for the class **hello**.

A software implementing the **Java Virtual Machine (JVM)** executes the bytecodes to produce output.

```
java hello
```

Java programs: definitions of classes.

```
public class hello {  
    public static void main (String args[]) {  
        System.out.println ("Hello!"); } }  
}
```

Compilation produces **class files** containing **Java bytecode**.

```
javac hello.java
```

produces file **hello.class** containing bytecodes for the class **hello**.

A software implementing the **Java Virtual Machine (JVM)** executes the bytecodes to produce output.

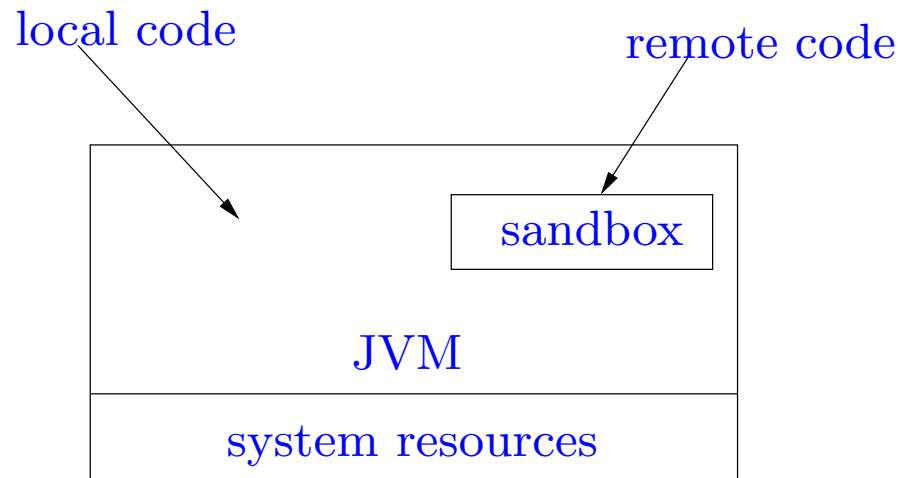
```
java hello
```

⇒ **Portability**

The **sandbox** principle: each application has access to a restricted set of **system resources** like local files, network, etc.

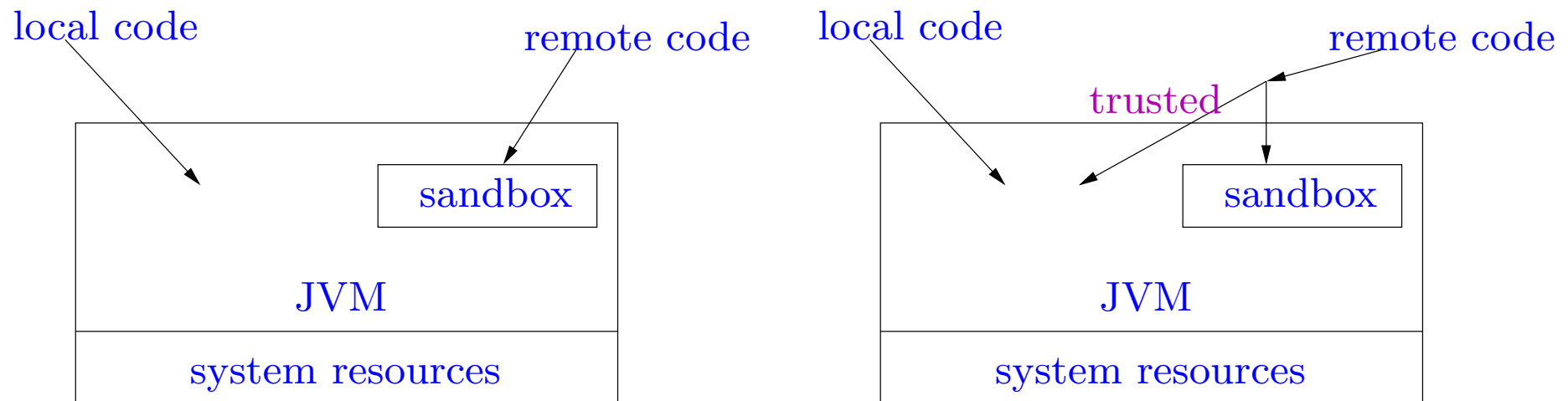
The **sandbox** principle: each application has access to a restricted set of **system resources** like local files, network, etc.

The sandbox model in earlier versions...

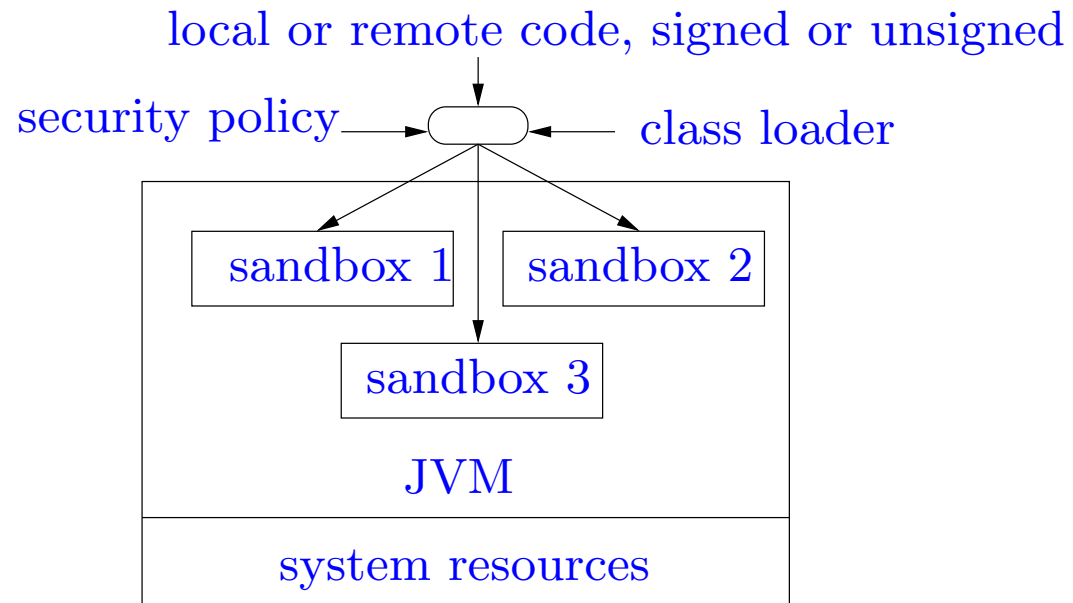


The **sandbox** principle: each application has access to a restricted set of **system resources** like local files, network, etc.

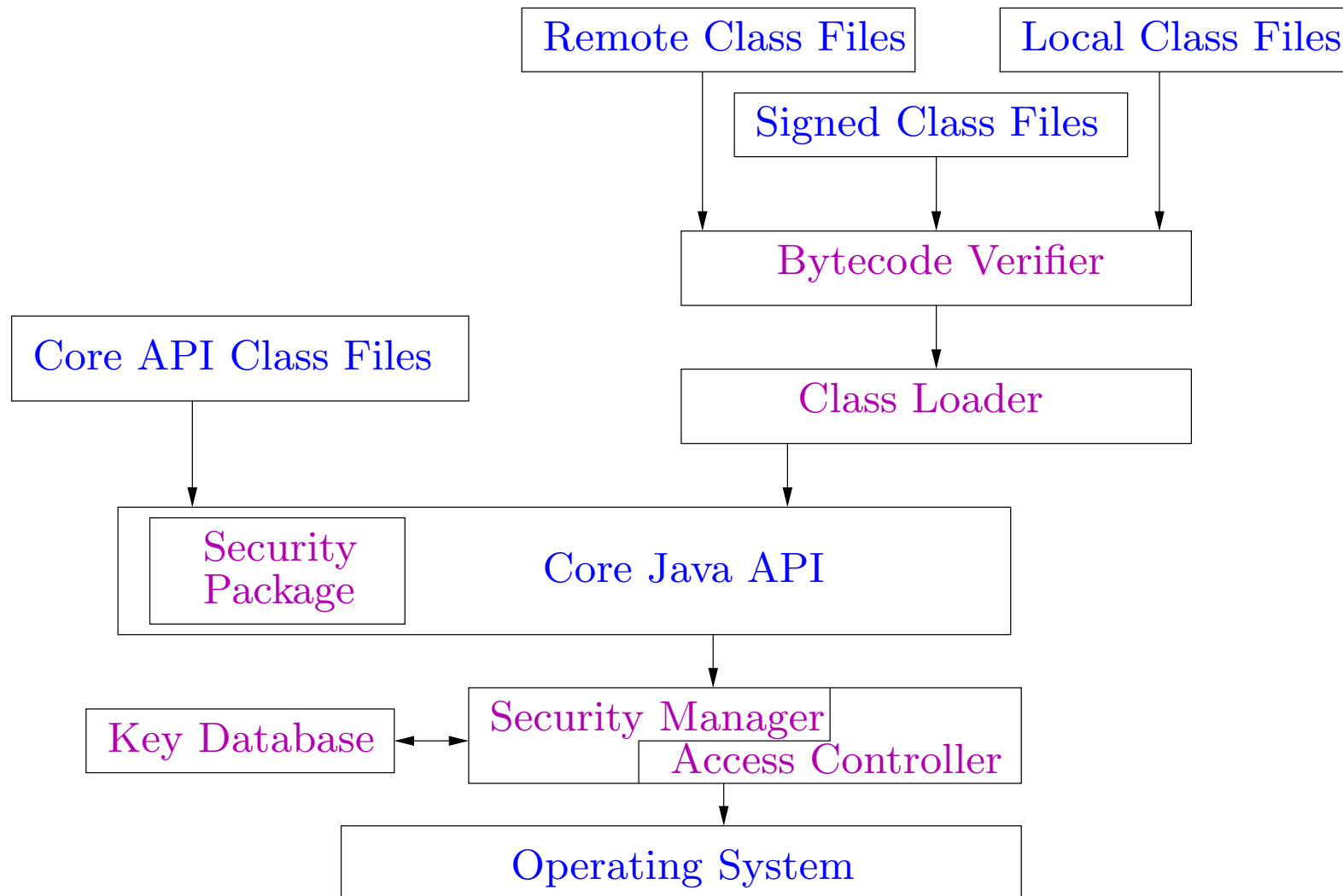
The sandbox model in earlier versions...



The current sandbox model in Java 2



Elements of the Java sandbox



Java language security constructs

Each entity has an **access level**

Specifier	Class	Package	Subclass	World
private	Yes	No	No	No
(Default)	Yes	Yes	No	No
protected	Yes	Yes	Yes	No
public	Yes	Yes	Yes	Yes

Java language security constructs

Each entity has an **access level**

Specifier	Class	Package	Subclass	World
private	Yes	No	No	No
(Default)	Yes	Yes	No	No
protected	Yes	Yes	Yes	No
public	Yes	Yes	Yes	Yes

Not sufficient for memory integrity ...

- **No pointers:** prevents access to arbitrary memory locations.

- **No pointers:** prevents access to arbitrary memory locations.
- No use of variables before **initialization.**

- **No pointers:** prevents access to arbitrary memory locations.
- No use of variables before **initialization.**
- **Array bounds** checks.

- **No pointers:** prevents access to arbitrary memory locations.
- No use of variables before **initialization**.
- **Array bounds** checks.
- No arbitrary **casts** between different classes.

```
public class A {private int x;}  
public class B {public int x;}  
...  
// a is of class A  
B b = (B) a;  
// The above is rejected by the compiler  
Object o = b; B b' = o;  
// The above is allowed by compiler but raises exception at runtime
```

Enforcement of the Java language rules.

- *At compile time:*

check typing rules, enforcement of access qualifiers, prevention of most illegal type casts.

- *At load time:*

verify bytecodes when a class is loaded (prevent malicious bytecodes)

- *At runtime:*

raise exceptions for illegal type casts, out of bound array accesses, ...